

**PATENT APPLICATION TRANSMITTAL LETTER**  
(Small Entity)

Docket No.  
1150.002

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith for filing under 35 U.S.C. 111 and 37 C.F.R. 1.53 is the patent application of:

**Kunio MIYAZAKI**

For: **CONTAINMENT BOOM**

Enclosed are:

- ☒ Certificate of Mailing with Express Mail Mailing Label No. **ET371325935US**
- ☒ 5 sheets of drawings.
- ☐ A certified copy of a \_\_\_\_\_ application.
- ☐ Declaration ☐ Signed. ☐ Unsigned.
- ☐ Power of Attorney
- ☐ Information Disclosure Statement
- ☒ Preliminary Amendment
- ☐ \_\_\_\_\_ Verified Statement(s) to Establish Small Entity Status Under 37 C.F.R. 1.9 and 1.27.
- ☒ Other: **Patent Application 13 Sheets**

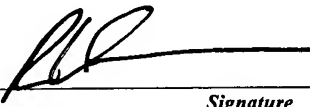
**CLAIMS AS FILED**

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	6	- 20 =	0	x \$9.00	\$0.00
Indep. Claims	1	- 3 =	0	x \$42.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$375.00
TOTAL FILING FEE					\$375.00

- CREDIT CARD PAYMENT FORM**
- ☒ A check in the amount of **\$375.00** to cover the filing fee is enclosed.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **50-0734** as described below.
- ☐ Charge the amount of \_\_\_\_\_ as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated:

**9/30/03**

  
Signature

**Richard L. Sampson, Reg. 37,231**  
**Sampson & Associates, P.C.**  
**50 Congress Street**  
**Boston, MA 02109**

cc:

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**Applicant(s): **Kunio MIYAZAKI**

Docket No.

**1150.002**

Serial No.

Filing Date

Examiner

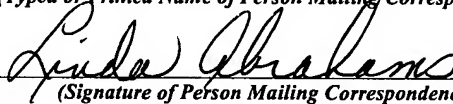
Group Art Unit

Invention: **CONTAINMENT BOOM**

I hereby certify that the following correspondence:

**Certificate of Mailing by Express Mail (ET371325935US); Patent Application Transmittal Letter; Patent Application (13 Sheets, plus 5 Sheets of Drawings); Preliminary Amendment; Transmittal of Information Disclosure Statement; Information Disclosure Citation w/references; Credit Card Payment Form; and Acknowledgement Postcard***(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

9/30/03*(Date)***Linda Abrahams***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)***ET371325935US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kunio MIYAZAKI

Serial No.: Not Yet Assigned

Group Art Unit: Not Yet Assigned

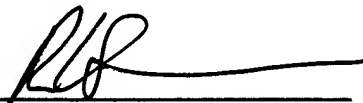
Filed: Concurrently Herewith

Examiner:

Title: CONTAINMENT BOOM

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service, as Express Mail Label No. ET371325935US Mail Stop Patent Application in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 30, 2003.

  
\_\_\_\_\_  
Richard L. Sampson, Jr.  
Attorney for Applicants  
Reg. No. 37,231

Date of Signature: September 30, 2003

To: Commissioner For Patents  
Mail Stop Patent Application  
Alexandria, VA 22313-1450

PRELIMINARY AMENDMENT UNDER 37 CFR §1.121

Dear Sir:

Please enter the following amendment prior to examination of the above-referenced application:

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
**1150.002**

In Re Application Of: **Kunio MIYAZAKI**

Serial No.

Filing Date

Examiner

Group Art Unit

Title: **CONTAINMENT BOOM**

Address to:  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
1150.002

In Re Application: **Kunio MIYAZAKI**

Serial No.

Filing Date

Examiner

Group Art Unit

**CONTAINMENT BOOM**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **50-0734**  
as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☐ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

(Date)

*Signature*

*Typed or Printed Name of Person Signing Certificate*

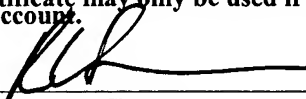
**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*Signature of Person Mailing Correspondence*

*Typed or Printed Name of Person Mailing Certificate*

**\*This certificate may only be used if paying by deposit account.**



*Signature*

Dated:

**9/30/03**

**Richard L. Sampson, Reg. 37,231**  
**Sampson & Associates, P.C.**  
**50 Congress Street**  
**Boston, MA 02109**

CC: